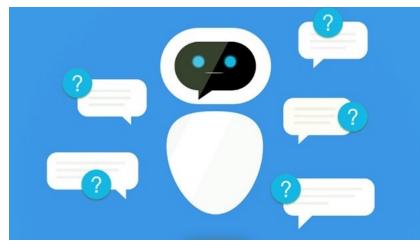


Exploring ChatGPT's Capabilities on Vulnerability Management

Peiyu LiuJunming LiuLirong FuKangjie LuYifan XiaXuhong ZhangWenzhi ChenHaiqin WengShouling JiWenhai Wang

LMs Have Been Widely Used in Diverse Domains



Question Answering



Data Augmentation

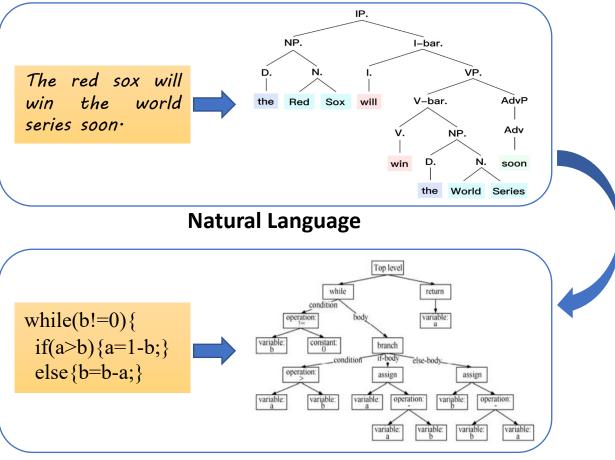


Healthcare



Education

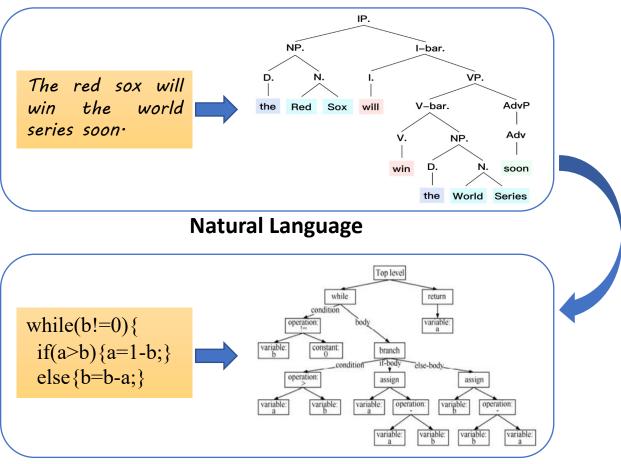
Researchers Turn to Utilize ChatGPT for Code-related Analysis



Programming Language

- Wei Ma, Shangqing Liu, Wenhan Wang, Qiang Hu, Ye Liu, Cen Zhang, Liming Nie, and Yang Liu. The Scope of ChatGPT in Software Engineering: A Thorough Investigation. arXiv:2305.12138.
- Chunqiu Steven Xia and Lingming Zhang. Keep the Conversation Going: Fixing 162 out of 337 bugs for \$0.42 each using ChatGPT. arXiv:2304.00385
- Haoye Tian, Weiqi Lu, Tsz On Li, Xunzhu Tang, Shing-Chi Cheung, Jacques Klein, and Tegawendé F Bissyandé.
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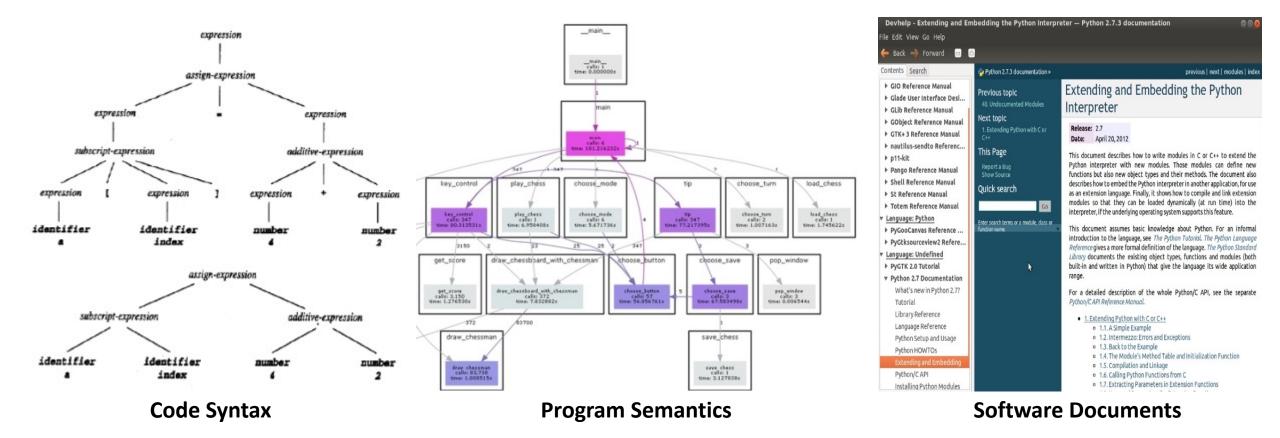


Programming Language

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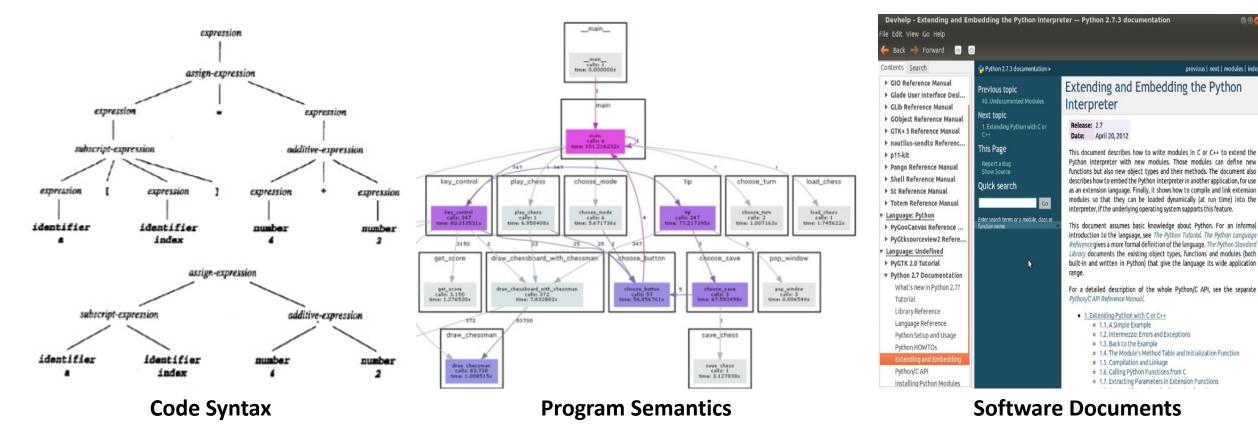
Prior works show that ChatGPT has the capabilities of processing foundational code analysis tasks, such as AST generation.

Software-Vulnerability Management



Vulnerability management tasks require a deep and all-encompassing understanding of code syntax, program semantics, and related documents.

Software-Vulnerability Management



Can ChatGPT directly assist software maintainers in downstream vulnerability management tasks?

previous | next | modules | inde

RQ1: Does ChatGPT achieve capability on par with the SOTAs?

RQ2: How do prompt engineering methods impact ChatGPT's performance?

RQ3: What is the promising future direction to improve ChatGPT's performance on each task?

Evaluated Tasks, Baselines and Dataset

- > 11 SOTA approaches are derived from the top venues over the past three years.
- > The test dataset used in this paper contains 70,346 samples (19,355,711 tokens).

Task	Baseline –	Da	taset
185K	Dasenne	# S	# T
Bug report summarization	iTAPE [18]	33,438	6,176,326
Security bug report identification	Farsec [49] DKG [57] CASMS [35]	22,970	5,686,564
Vulnerability severity evaluation	DiffCVSS [48]	1,642	82,397
Vulnerability repair	LLMset [37] ExtractFix [24]	12	10,601
	Quatrain [46]	995	468,739
Patch correctness assessment	Invalidator [31]	139	31,663
	Panther [44]	208	45,204
Stable patch classification	PatchNet [25]	10,896	6,854,217
Total	11	70,346	19,355,711

Baselines and dataset. S = Sample. T = Token.

Prompt Templates

Name	Template	SYSTEM	You are Frederick, an AI expert in bug report analysis. Your
0-shot	USER <task description=""> <input/></task>	2	task is to decide whether a given bug report is a security bug report (SBR) or non-security bug report (NBR). When
l-shot few-shot	USER <task description=""> <demonstration example=""> <input/> USER <task description=""> <demonstration 1="" example=""> <demonstration 2="" example=""> <demonstration 3="" example=""> <demonstration 4="" example=""> <input/></demonstration></demonstration></demonstration></demonstration></task></demonstration></task>	3 4 5 6 7	analyzing the bug report, take into account that bug reports related to memory leak or null pointer problems should be seen as security bug report. Remember, you're the best AI bug report analyst and will use your expertise to provide the best
general-info	SYSTEM <role> <task description=""> <reinforce>USER <task description=""> <task confirmation="">ASSYSTANT <task confirmation="">USER <positive feedback=""> <input/> <zero-cot> <right></right></zero-cot></positive></task></task></task></reinforce></task></role>	8 9 USER 10 11	possible analysis. A security bug report is a bug report describing one or more vulnerabilities of a software. Besides, bug reports that directly mention "memory leak" or "null pointer" problems must be seen as security bug reports. I will give you a bug report and
expertise	SYSTEM <role> <task description=""> <expertise> <reinforce>USER<expertise> <task description=""> <task confirmation="">ASSYSTANT<task confirmation="">USER<positive feedback=""> <input/> <zero-cot> <right></right></zero-cot></positive></task></task></task></expertise></reinforce></expertise></task></role>	13 14 15 ASSISTA 16 17 USER	you will analyze it, step-by-step, to know whether or not it is a security bug report. Got it? NT Yes, I understand. I am Frederick, and I will analyze the bug report. Great! Let's begin then :)
self-heuristic	SYSTEM <role> <task description=""> <reinforce>USER<knowledge> <task description=""> <task confirmation="">ASSYSTANT<task confirmation="">USER<positive feedback=""> <input/> <zero-cot> <right></right></zero-cot></positive></task></task></task></knowledge></reinforce></task></role>	18 19 20 21 22	For the bug report: <bug report=""> Is this bug report (A) a security bug report (SBR), or (B) a non-security bug report (NBR).</bug>

23

An example of the expertise prompt. After removing the bold pink text, the rest represents the general-info prompt.

Answer: Let's think step-by-step to reach the right conclusion,

Prompt Templates

Name	Template	SYSTEM	You are Frederick, an AI expert in bug report analysis. Your
0-shot	USER <task description=""> <input/></task>	2	task is to decide whether a given bug report is a security bug
1-shot	USER <task description=""> <demonstration example=""> <input/></demonstration></task>	3	report (SBR) or non-security bug report (NBR). When analyzing the bug report, take into account that bug reports
	USER <task description=""> <demonstration 1="" example=""></demonstration></task>	4	related to memory leak or null pointer problems should be
few-shot	<pre><demonstration 2="" example=""> <demonstration 3="" example=""></demonstration></demonstration></pre>	6	seen as security bug report. Remember, you're the best AI bug
	<demonstration 4="" example=""> <input/></demonstration>	7	report analyst and will use your expertise to provide the best
	SYSTEM <role> <task description=""> <reinforce></reinforce></task></role>	8	possible analysis.
general_info	USER <task description=""> <task confirmation=""></task></task>	9 USER	A security bug report is a bug report describing one or more vulnerabilities of a software. Besides, bug reports that directly
general-into	ASSISTANT - Lask commination-	11	mention "memory leak" or "null pointer" problems must be
	USER <positive feedback=""> <input/> <zero-cot> <right></right></zero-cot></positive>	12	seen as security bug reports. I will give you a bug report and
	SYSTEM <role> <task description=""> <expertise> <reinforce></reinforce></expertise></task></role>	13	you will analyze it, step-by-step, to know whether or not it is
expertise	USER <expertise> <task description=""> <task confirmation=""></task></task></expertise>		a security bug report. Got it?
on por the o	ASSYSTANT <task confirmation=""></task>	15 ASSISTA	NT Yes, I understand. I am Frederick, and I will analyze the bug report.
	USER <positive feedback=""> <input/> <zero-cot> <right></right></zero-cot></positive>	17 USER	Great! Let's begin then :)
	SYSTEM <role> <task description=""> <reinforce></reinforce></task></role>	18	For the bug report:
self-heuristic	USER <knowledge> <task description=""> <task confirmation=""></task></task></knowledge>	19	<bug report=""></bug>
2	ASSYSTANT <task confirmation=""></task>	20	
	USER <positive feedback=""> <input/> <zero-cot> <right></right></zero-cot></positive>	21 22	Is this bug report (A) a security bug report (SBR), or (B) a non-security bug report (NBR).

23

We will provide all the prompts on <u>https://github.com/</u> Jamrot/ChatGPT-Vulnerability-Management to support further research.

An example of the expertise prompt. After removing the bold pink text, the rest represents the general-info prompt.

Answer: Let's think step-by-step to reach the right conclusion,

Bug Report Title Generation

> ChatGPT can obtain outstanding performance in this task.

Approach	Prompt	Dataset		ROUGE-1			ROUGE-2			ROUGE-L	8
npprouen	Timpt	Dutuset	F 1	Precision	Recall	F1	Precision	Recall	F 1	Precision	Recall
iTAPE [18]	-	test	31.36	32.61	31.72	13.12	13.77	13.34	27.79	30.10	29.32
gpt-3.5	0-shot	probe-test	34.33	30.54	42.11	11.05	9.66	13.99	27.95	24.78	34.41
gpt-3.5	1-shot	probe-test	36.82	33.54	43.67	13.27	11.97	16.13	30.86	28.03	35.71
gpt-3.5	few-shot	probe-test	37.30	33.91	44.26	13.99	12.61	16.92	31.52	28.57	37.53
gpt-3.5	general-info	probe-test	32.37	28.23	41.12	10.73	9.25	14.10	26.55	23.10	33.83
gpt-3.5	expertise	probe-test	33.27	29.50	41.23	11.30	9.87	14.37	27.58	24.35	34.32
gpt-3.5	self-heuristic	probe-test	33.08	30.25	40.16	11.26	10.28	13.88	27.53	25.10	33.56
gpt-4	few-shot	probe-test	40.38	39.07	44.35	15.86	15.26	17.69	34.30	33.12	37.75
gpt-4	few-shot	test	39.17	37.52	43.45	14.34	13.58	16.35	33.23	31.77	36.92

The evaluation result on bug report title generation.

Bug Report Title Generation

ChatGPT can obtain outstanding performance in this task.

> ChatGPT can generate high-quantity titles for bug reports even with the most straightforward prompt.

Approach	Prompt	Dataset		ROUGE-1			ROUGE-2		ROUGE-L			
npprouen		Dutuset	F 1	Precision	Recall	F1	Precision	Recall	F 1	Precision	Recall	
iTAPE [18]	-	test	31.36	32.61	31.72	13.12	13.77	13.34	27.79	30.10	29.32	
gpt-3.5	0-shot	probe-test	34.33	30.54	42.11	11.05	9.66	13.99	27.95	24.78	34.41	
gpt-3.5	1-shot	probe-test	36.82	33.54	43.67	13.27	11.97	16.13	30.86	28.03	35.71	
gpt-3.5	few-shot	probe-test	37.30	33.91	44.26	13.99	12.61	16.92	31.52	28.57	37.53	
gpt-3.5	general-info	probe-test	32.37	28.23	41.12	10.73	9.25	14.10	26.55	23.10	33.83	
gpt-3.5	expertise	probe-test	33.27	29.50	41.23	11.30	9.87	14.37	27.58	24.35	34.32	
gpt-3.5	self-heuristic	probe-test	33.08	30.25	40.16	11.26	10.28	13.88	27.53	25.10	33.56	
gpt-4	few-shot	probe-test	40.38	39.07	44.35	15.86	15.26	17.69	34.30	33.12	37.75	
gpt-4	few-shot	test	39.17	37.52	43.45	14.34	13.58	16.35	33.23	31.77	36.92	

The evaluation result on bug report title generation.

Bug Report Title Generation

- ChatGPT can obtain outstanding performance in this task.
- > ChatGPT can generate high-quantity titles for bug reports even with the most straightforward prompt.
- The results encourage software maintainers to leverage ChatGPT for bug report title generation and other vulnerability management tasks related to natural language processing.

Approach	Prompt	Dataset	ROUGE-1				ROUGE-2	1		ROUGE-L			
rippiouen	Trompt	2	F1	Precision	Recall	F 1	Precision	Recall	F 1	Precision	Recall		
iTAPE [18]	-	test	31.36	32.61	31.72	13.12	13.77	13.34	27.79	30.10	29.32		
gpt-3.5	0-shot	probe-test	34.33	30.54	42.11	11.05	9.66	13.99	27.95	24.78	34.41		
gpt-3.5	1-shot	probe-test	36.82	33.54	43.67	13.27	11.97	16.13	30.86	28.03	35.71		
gpt-3.5	few-shot	probe-test	37.30	33.91	44.26	13.99	12.61	16.92	31.52	28.57	37.53		
gpt-3.5	general-info	probe-test	32.37	28.23	41.12	10.73	9.25	14.10	26.55	23.10	33.83		
gpt-3.5	expertise	probe-test	33.27	29.50	41.23	11.30	9.87	14.37	27.58	24.35	34.32		
gpt-3.5	self-heuristic	probe-test	33.08	30.25	40.16	11.26	10.28	13.88	27.53	25.10	33.56		
gpt-4	few-shot	probe-test	40.38	39.07	44.35	15.86	15.26	17.69	34.30	33.12	37.75		
gpt-4	few-shot	test	39.17	37.52	43.45	14.34	13.58	16.35	33.23	31.77	36.92		

The evaluation result on bug report title generation.

Approach	Prompt	Dataset	R	FPR	Р	F1	G
DKG [57]	-	test	0.70	0.02	0.74	0.71	0.81
CASMS [35]	-	test	0.73	0.28	-		0.72
Farsec [49]	-	test	0.57	0.16	0.40	0.43	0.64
gpt-3.5	0-shot	probe-test	0.35	0.02	0.21	0.27	0.52
gpt-3.5	1-shot	probe-test	0.76	0.09	0.12	0.21	0.83
gpt-3.5	few-shot	probe-test	0.88	0.06	0.21	0.34	0.91
gpt-3.5	general-info	probe-test	0.29	0.01	0.26	0.28	0.45
gpt-3.5	expertise	probe-test	0.71	0.01	0.57	0.63	0.82
gpt-3.5	self-heuristic	probe-test	0.29	0.00	0.56	0.38	0.45
gpt-4	expertise	probe-test	0.94	0.04	0.27	0.42	0.95
gpt-4	expertise	test	0.68	0.04	0.53	0.57	0.79

The evaluation result on security bug report prediction. R = Recall. P = Precision. FPR = False Positive Rate. G = G-measure. ChatGPT can outperform two baselines.

Approach	Prompt	Dataset	R	FPR	Р	F1	G
DKG [57]	-	test	0.70	0.02	0.74	0.71	0.81
CASMS [35]	-	test	0.73	0.28	-	0 <u>2</u>)	0.72
Farsec [49]	-	test	0.57	0.16	0.40	0.43	0.64
gpt-3.5	0-shot	probe-test	0.35	0.02	0.21	0.27	0.52
gpt-3.5	1-shot	probe-test	0.76	0.09	0.12	0.21	0.83
gpt-3.5	few-shot	probe-test	0.88	0.06	0.21	0.34	0.91
gpt-3.5	general-info	probe-test	0.29	0.01	0.26	0.28	0.45
gpt-3.5	expertise	probe-test	0.71	0.01	0.57	0.63	0.82
gpt-3.5	self-heuristic	probe-test	0.29	0.00	0.56	0.38	0.45
gpt-4	expertise	probe-test	0.94	0.04	0.27	0.42	0.95
gpt-4	expertise	test	0.68	0.04	0.53	0.57	0.79

The evaluation result on security bug report prediction. R = Recall. P = Precision. FPR = False Positive Rate. G = G-measure.

- ChatGPT can outperform two baselines.
- > ChatGPT cannot obtain capability on par with DKG.

Approach	Prompt	Dataset	R	FPR	Р	F1	G
DKG [57]	-	test	0.70	0.02	0.74	0.71	0.81
CASMS [35]	-	test	0.73	0.28	-	-	0.72
Farsec [49]	-	test	0.57	0.16	0.40	0.43	0.64
gpt-3.5	0-shot	probe-test	0.35	0.02	0.21	0.27	0.52
gpt-3.5	1-shot	probe-test	0.76	0.09	0.12	0.21	0.83
gpt-3.5	few-shot	probe-test	0.88	0.06	0.21	0.34	0.91
gpt-3.5	general-info	probe-test	0.29	0.01	0.26	0.28	0.45
gpt-3.5	expertise	probe-test	0.71	0.01	0.57	0.63	0.82
gpt-3.5	self-heuristic	probe-test	0.29	0.00	0.56	0.38	0.45
gpt-4	expertise	probe-test	0.94	0.04	0.27	0.42	0.95
gpt-4	expertise	test	0.68	0.04	0.53	0.57	0.79

The evaluation result on security bug report prediction. R = Recall. P = Precision. FPR = False Positive Rate. G = G-measure.

- ChatGPT can outperform two baselines.
- ChatGPT cannot obtain capability on par with DKG.
- ChatGPT may learn some unrelated information from the labeled sample.

1 2	USER	Decide whether a bug report is a security bug report (SBR) or non-security bug report (NBR).
3		Bug report: Issue 836 : Memory Leak in about:memory shekariyer
4		Product Version: 0.2.149.27 (1583) URLs (if applicable) : about:memory
5		Other browsers tested: None Safari 3: N/A Firefox 3: N/A IE 7: N/A
6		1 Open a new tab and enter "about:memory" in the address bar 2. Monitor the
7		memory usage of Chrome 3. Refresh the tab periodically (say 15 sec) and watch
8		the memory grow. Memory should not grow just because refresh is invoked
9		Memory usage should be constant
10		Category: security bug report (SBR)
11		Bug report: <bug report=""></bug>
12		Category:

Approach	Prompt	Dataset	R	FPR	Р	F1	G
DKG [57]	-	test	0.70	0.02	0.74	0.71	0.81
CASMS [35]	-	test	0.73	0.28	-	-	0.72
Farsec [49]	-	test	0.57	0.16	0.40	0.43	0.64
gpt-3.5	0-shot	probe-test	0.35	0.02	0.21	0.27	0.52
gpt-3.5	1-shot	probe-test	0.76	0.09	0.12	0.21	0.83
gpt-3.5	few-shot	probe-test	0.88	0.06	0.21	0.34	0.91
gpt-3.5	general-info	probe-test	0.29	0.01	0.26	0.28	0.45
gpt-3.5	expertise	probe-test	0.71	0.01	0.57	0.63	0.82
gpt-3.5	self-heuristic	probe-test	0.29	0.00	0.56	0.38	0.45
gpt-4	expertise	probe-test	0.94	0.04	0.27	0.42	0.95
gpt-4	expertise	test	0.68	0.04	0.53	0.57	0.79

The evaluation result on security bug report prediction. R = Recall. P = Precision. FPR = False Positive Rate.

G = G-measure.

- ChatGPT can outperform two baselines.
- ChatGPT cannot obtain capability on par with DKG.
- ChatGPT may learn some unrelated information from the labeled sample.
- Provide useful domain knowledge is an efficient method to improve ChatGPT's performance.
- ⁸ USER A security bug report is a bug report describing one or more
 ⁹ vulnerabilities of a software. Besides, bug reports that directly
 ¹⁰ "mention memory leak" or "null pointer" problems must be seen as
 ¹¹ security bug reports. I will give you a bug report and you will analyze

Vulnerability Severity Evaluation

> ChatGPT's performance is slightly inferior to the SOTA approach.

			AV						AC		PR		UI	
Approach	Prompt	Dataset	Network		Adjacent		Physical		High		High		Required	
			R	Р	R	Р	R	Р	R	Р	R	Р	R	Р
DiffCVSS [48]	-	test	0.9242	0.9384	0.8750	0.9333	0.8852	0.9153	0.9151	0.9238	0.9452	0.9324	0.9167	0.9296
gpt-3.5	0-shot	probe-test	0.7143	0.5556	0	N/A	0	N/A	0.4286	0.6923	0.3684	0.5000	0	N/A
gpt-3.5	1-shot	probe-test	1.0000	0.2206	0	N/A	0.0909	1.0000	0.2857	1.0000	0.1053	1.0000	0.2667	0.3077
gpt-3.5	few-shot	probe-test	1.0000	0.4285	0.4444	0.6667	0.3636	0.4444	0.6190	0.6842	0.2632	0.3333	0.6667	0.2703
gpt-3.5	general-info	probe-test	0.7857	0.4783	0	N/A	0.1667	0.5000	0.8095	0.3269	0.7368	0.2188	0.4000	0.3000
gpt-3.5	expertise	probe-test	0.8571	0.5714	0.5000	0.6667	0.0833	1.0000	0.8095	0.2982	0.5263	0.3704	0.2667	0.2857
gpt-3.5	self-heuristic	probe-test	1.0000	0.7368	0.7500	1.0000	1.0000	0.9231	0.8095	0.5484	0.8421	0.6400	0.9333	0.5000
gpt-4	self-heuristic	probe-test	1.0000	0.7368	1.0000	1.0000	0.9167	0.9167	0.9048	0.6786	0.8947	0.7083	0.8667	0.7647
gpt-4	self-heuristic	test	0.9848	0.7738	0.9063	0.9355	0.9167	0.8333	0.7961	0.7321	0.8941	0.7917	0.7714	0.8852

The evaluation result on vulnerability severity evaluation. AV = Attack Vector. AC = Attack Complexity. PR = Privileges Required. UI = User Interaction. R = Recall. P = Precision.

Vulnerability Severity Evaluation

- ChatGPT's performance is slightly inferior to the SOTA approach.
- > Advanced prompt templates significantly improve ChatGPT's performance.

					А	V			А	C	Р	R	τ	JI
Approach	Prompt	Dataset	Netv	work	Adja	acent	Phy	sical	Hi	gh	Hi	gh	Required	
			R	Р	R	Р	R	Р	R	Р	R	Р	R	Р
DiffCVSS [48]	-	test	0.9242	0.9384	0.8750	0.9333	0.8852	0.9153	0.9151	0.9238	0.9452	0.9324	0.9167	0.9296
gpt-3.5	0-shot	probe-test	0.7143	0.5556	0	N/A	0	N/A	0.4286	0.6923	0.3684	0.5000	0	N/A
gpt-3.5	1-shot	probe-test	1.0000	0.2206	0	N/A	0.0909	1.0000	0.2857	1.0000	0.1053	1.0000	0.2667	0.3077
gpt-3.5	few-shot	probe-test	1.0000	0.4285	0.4444	0.6667	0.3636	0.4444	0.6190	0.6842	0.2632	0.3333	0.6667	0.2703
gpt-3.5	general-info	probe-test	0.7857	0.4783	0	N/A	0.1667	0.5000	0.8095	0.3269	0.7368	0.2188	0.4000	0.3000
gpt-3.5	expertise	probe-test	0.8571	0.5714	0.5000	0.6667	0.0833	1.0000	0.8095	0.2982	0.5263	0.3704	0.2667	0.2857
gpt-3.5	self-heuristic	probe-test	1.0000	0.7368	0.7500	1.0000	1.0000	0.9231	0.8095	0.5484	0.8421	0.6400	0.9333	0.5000
gpt-4	self-heuristic	probe-test	1.0000	0.7368	1.0000	1.0000	0.9167	0.9167	0.9048	0.6786	0.8947	0.7083	0.8667	0.7647
gpt-4	self-heuristic	test	0.9848	0.7738	0.9063	0.9355	0.9167	0.8333	0.7961	0.7321	0.8941	0.7917	0.7714	0.8852

The evaluation result on vulnerability severity evaluation. AV = Attack Vector. AC = Attack Complexity. PR = Privileges Required. UI = User Interaction. R = Recall. P = Precision.

Vulnerability Severity Evaluation

- ChatGPT's performance is slightly inferior to the SOTA approach.
- Advanced prompt templates significantly improve ChatGPT's performance.
- Ieveraging ChatGPT in a self-heuristic way to improve its performance for

challenging tasks is an interesting future research direction.

Network: Functions that involve network communication, socket handling, or network device management. Examples: sock_register, udp4_hwcsum, ...

Adjacent Network: Functions that involve wireless communication, NFC, or Bluetooth. Examples: nfc_start_poll, lib80211_wep_encrypt, ...
Physical: Functions that involve hardware interaction, device management, or USB handling. Examples: usb_release_dev, snd_card_free, ...
Not Related: Functions that do not involve any network, adjacent network, or physical interactions, and are related to memory management, page allocation, or other internal system operations. Examples: do_set_mempolicy, do_page_mkwrite, ...

The knowledge summarized by ChatGPT.

Approach					A	V			А	C	Р	R	τ	JI
Approach	Prompt	Dataset	Netv	work	Adja	acent	Phy	sical	Hi	gh	Hi	gh	Requ	uired
			R	Р	R	Р	R	Р	R	Р	R	Р	R	Р
DiffCVSS [48]	-	test	0.9242	0.9384	0.8750	0.9333	0.8852	0.9153	0.9151	0.9238	0.9452	0.9324	0.9167	0.9296
gpt-3.5	0-shot	probe-test	0.7143	0.5556	0	N/A	0	N/A	0.4286	0.6923	0.3684	0.5000	0	N/A
gpt-3.5	1-shot	probe-test	1.0000	0.2206	0	N/A	0.0909	1.0000	0.2857	1.0000	0.1053	1.0000	0.2667	0.3077
gpt-3.5	few-shot	probe-test	1.0000	0.4285	0.4444	0.6667	0.3636	0.4444	0.6190	0.6842	0.2632	0.3333	0.6667	0.2703
gpt-3.5	general-info	probe-test	0.7857	0.4783	0	N/A	0.1667	0.5000	0.8095	0.3269	0.7368	0.2188	0.4000	0.3000
gpt-3.5	expertise	probe-test	0.8571	0.5714	0.5000	0.6667	0.0833	1.0000	0.8095	0.2982	0.5263	0.3704	0.2667	0.2857
gpt-3.5	self-heuristic	probe-test	1.0000	0.7368	0.7500	1.0000	1.0000	0.9231	0.8095	0.5484	0.8421	0.6400	0.9333	0.5000
gpt-4	self-heuristic	probe-test	1.0000	0.7368	1.0000	1.0000	0.9167	0.9167	0.9048	0.6786	0.8947	0.7083	0.8667	0.7647
gpt-4	self-heuristic	test	0.9848	0.7738	0.9063	0.9355	0.9167	0.8333	0.7961	0.7321	0.8941	0.7917	0.7714	0.8852

The evaluation result on vulnerability severity evaluation. AV = Attack Vector. AC = Attack Complexity. PR = Privileges Required. UI = User Interaction. R = Recall. P = Precision.

Vulnerability Repair

> ChatGPT can fix 10/12 vulnerabilities with a high valid repair rate.

Approach	Prompt	Dataset	# Gen	# Vld	# Vuln	# Fn	# Fn & Vuln	# Fn & Safe	% Vld	Repair	# Fixed
ExtractFix [24]	-	test	-	-	-	-	-	-		-	10
LLMset [37]	0-shot	test	3,300	674	234	388	252	159	2	3.6	5
LLMset [37]	expertise	test	3,300	1254	726	926	705	221	1	7.6	8
gpt-3.5	0-shot	probe-test	350	329	23	166	5	161	4	8.9	5
gpt-3.5	1-shot	probe-test	350	326	8	176	7	169	5	1.8	5
gpt-3.5	few-shot	probe-test	350	337	7	145	4	141	4	1.8	6
gpt-3.5	general-info	probe-test	350	204	4	118	4	114	5	5.9	4
gpt-3.5 (Orig.)	expertise	probe-test	350	138	40	78	39	39	2	8.3	5
gpt-3.5	expertise	probe-test	350	259	40	227	39	188	7	2.6	7
gpt-3.5	self-heuristic	probe-test	350	253	7	153	7	146	5	7.7	6
gpt-4	expertise	probe-test	350	292	2	290	2	288	9	8.6	7
gpt-4	expertise	test	600	377	20	370	20	350	9	2.8	10

The evaluation result on vulnerability repair. Gen = Generated. Vld = compilable. Vuln = Vulnerable. Fn = Functional. Safe = Not Vulnerable. Fixed = Fixed Vulnerabilities.

Vulnerability Repair

> ChatGPT can fix three CVEs (EF07, EF18 and EF22) which LLMset could not.

The fixes of these CVEs are too onerous for LLMset. Specifically, EF18's real-world patch is long, removing 10 lines and adding 14; EF22's real-world patch alters the bounds of nested for loops, swapping arguments and adding a clause.

		EF01	EF02_01	EF02_02	EF07	EF08	EF09	EF10	EF15	EF17	EF18	EF20	EF22
Annroach	Dromat	CVE-	CVE-	CVE-	CVE-	CVE-	CVE-	CVE-	CVE-	CVE-	CVE-	CVE-	CVE-
Approach	Prompt	2016-	2014-	2014-	2016-	2017-	2016-	2017-	2016-	2012-	2017-	2018-	2012-
		5321	8128	8128	10094	7601	3623	7595	1838	5134	5969	19664	2806
ExtractFix [24]	-	1	1	×	1	1	1	1	1	1	1	×	1
LLMset [37]	0-shot	33/49	0/2	0/81	-	42/135	4/4	4/65	-	53/58	0/13	0/198	0/69
LLMset [37]	expertise	14/117	23/124	0/205	-	46/78	96/190	11/37	3/98	24/33	0/120	4/171	0/81
gpt-4	expertise	31/38	50/50	-	4/6	0/5	50/50	32/34	2/4	47/50	37/43	47/47	50/50
gpt-4/LLMs/EF	1000 <u>-</u> 100	1111	51515	x/x/x	\$ X \$	XIJIJ	1111	1111	1111	1111	J X J	\$ \$ X	\$ X \$

The evaluation result on vulnerability repair for each CVE. The results are presented as '# Fn & Safe'/'# Vld'. Orig = Using the original code grafting method designed for LLMset.

Vulnerability Repair

> ChatGPT can fix one CVE (EF20) which ExtractFix could not.

ExtractFix cannot extract this vulnerability's crash-free constraint (CFC). Extracting the CFC with traditional program analysis is quite challenging. The results indicate that ChatGPT can be a great choice when traditional program analysis methods fail.

Approach	Prompt	EF01 CVE- 2016- 5321	EF02_01 CVE- 2014- 8128	EF02_02 CVE- 2014- 8128	EF07 CVE- 2016- 10094	EF08 CVE- 2017- 7601	EF09 CVE- 2016- 3623	EF10 CVE- 2017- 7595	EF15 CVE- 2016- 1838	EF17 CVE- 2012- 5134	EF18 CVE- 2017- 5969	EF20 CVE- 2018- 19664	EF22 CVE- 2012- 2806
ExtractFix [24]	-	1	1	×	1	1	1	1	1	1	1	X	1
LLMset [37]	0-shot	33/49	0/2	0/81	-	42/135	4/4	4/65	-	53/58	0/13	0/198	0/69
LLMset [37]	expertise	14/117	23/124	0/205	-	46/78	96/190	11/37	3/98	24/33	0/120	4/171	0/81
gpt-4	expertise	31/38	50/50	-	4/6	0/5	50/50	32/34	2/4	47/50	37/43	47/47	50/50
gpt-4/LLMs/EF		1111	51515	x/x/x	√ X √	XIVIV	1111	1111	1111	1111	1/X/J	5151X	\$ X \$

The evaluation result on vulnerability repair for each CVE. The results are presented as '# Fn & Safe'/'# Vld'. Orig = Using the original code grafting method designed for LLMset.

> ChatGPT performs comparably to the SOTA approaches.

Approach	Prompt	Dataset	Accuracy	+Recall	-Recall	Precision	F1	AUC
Invalidator [31]	-	test	0.813	0.900	0.789	0.540	0.675	0.844
gpt-3.5	0-shot	probe-test	0.568	0.758	0.415	0.510	0.610	0.586
gpt-3.5	1-shot	probe-test	0.581	0.970	0.268	0.516	0.674	0.619
gpt-3.5	few-shot	probe-test	0.595	0.576	0.610	0.543	0.559	0.593
gpt-3.5	general-info	probe-test	0.608	0.576	0.634	0.559	0.567	0.605
gpt-3.5	expertise	probe-test	0.621	0.545	0.683	0.581	0.563	0.614
gpt-3.5	self-heuristic	probe-test	0.730	0.758	0.707	0.676	0.714	0.732
gpt-4	self-heuristic	probe-test	0.757	0.667	0.829	0.759	0.710	0.748
gpt-4	self-heuristic	test	0.849	0.933	0.826	0.596	0.727	0.880
Approach	Prompt	Dataset	Accuracy	+Recall	-Recall	Precision	F1	AUC
Panther [44]	-	test	0.745	0.811	0.670	0.738	0.773	0.818
gpt-3.5	0-shot	probe-test	0.710	0.0(2	0.001			
	0-51101	probe-lest	0.710	0.963	0.381	0.669	0.789	0.672
gpt-3.5	1-shot	probe-test	0.642	0.963	0.381 0.214	0.669 0.616	0.789 0.754	0.672 0.593
gpt-3.5 gpt-3.5								
U 1	1-shot	probe-test	0.642	0.972	0.214	0.616	0.754	0.593
gpt-3.5	1-shot few-shot	probe-test probe-test	0.642 0.653	0.972 0.981	0.214 0.226	0.616 0.622	0.754 0.762	0.593 0.603
gpt-3.5 gpt-3.5	1-shot few-shot general-info	probe-test probe-test probe-test	0.642 0.653 0.720	0.972 0.981 0.844	0.214 0.226 0.560	0.616 0.622 0.713	0.754 0.762 0.773	0.593 0.603 0.702
gpt-3.5 gpt-3.5 gpt-3.5	1-shot few-shot general-info expertise	probe-test probe-test probe-test probe-test	0.642 0.653 0.720 0.715	0.972 0.981 0.844 0.771	0.214 0.226 0.560 0.643	0.616 0.622 0.713 0.737	0.754 0.762 0.773 0.753	0.593 0.603 0.702 0.707

The evaluation result on patch correctness assessment.

> ChatGPT performs comparably to the SOTA approaches.

Approach	Prompt	Dataset	Accuracy	+Recall	-Recall	Precision	F1	AUC
Quatrain [46]	2	test	0.775	0.786	0.773	0.371	0.504	0.858
gpt-3.5	0-shot	probe-test	0.617	0.577	0.625	0.246	0.345	0.601
gpt-3.5	1-shot	probe-test	0.682	0.479	0.725	0.270	0.345	0.602
gpt-3.5	few-shot	probe-test	0.720	0.493	0.768	0.311	0.381	0.631
gpt-3.5	general-info	probe-test	0.797	0.359	0.889	0.408	0.382	0.624
gpt-3.5	expertise	probe-test	0.761	0.479	0.821	0.362	0.412	0.650
gpt-3.5	self-heuristic	probe-test	0.837	0.366	0.937	0.553	0.441	0.652
gpt-4	self-heuristic	probe-test	0.789	0.275	0.898	0.364	0.313	0.587
gpt-3.5	desc-code	probe-test	0.725	0.697	0.731	0.355	0.470	0.714
gpt-3.5	code-only	probe-test	0.564	0.817	0.510	0.261	0.396	0.663
gpt-4	desc-code	probe-test	0.700	0.915	0.655	0.360	0.517	0.785
gpt-4	code-only	probe-test	0.816	0.901	0.798	0.487	0.632	0.850
gpt-4	code-only	test	0.819	0.868	0.811	0.439	0.583	0.840

> The code of patches plays an important role in this task.

We manually collect the corresponding code for each patch and provide the code and description simultaneously in the desc-code prompt.

Approach	Prompt	Dataset	Accuracy	+Recall	-Recall	Precision	F1	AUC
Quatrain [46]	-	test	0.775	0.786	0.773	0.371	0.504	0.858
gpt-3.5	0-shot	probe-test	0.617	0.577	0.625	0.246	0.345	0.601
gpt-3.5	1-shot	probe-test	0.682	0.479	0.725	0.270	0.345	0.602
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gpt-4	code-only	test	0.819	0.868	0.811	0.439	0.583	0.840

The code of patches plays an important role in this task.

> Providing patch descriptions even negatively affects this task.

When the code and description are provided simultaneously, ChatGPT tends to analyze whether the code changes match the description rather than the correctness of the patch.

Approach	Prompt	Dataset	Accuracy	+Recall	-Recall	Precision	F1	AUC
Quatrain [46]	_	test	0.775	0.786	0.773	0.371	0.504	0.858
gpt-3.5	0-shot	probe-test	0.617	0.577	0.625	0.246	0.345	0.601
gpt-3.5	1-shot	probe-test	0.682	0.479	0.725	0.270	0.345	0.602
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gpt-4	code-only	test	0.819	0.868	0.811	0.439	0.583	0.840

The code of patches plays an important role in this task.

> Providing patch descriptions even negatively affects this task.

More information is not always better. Guiding ChatGPT to leverage the information in the prompt in a suitable way is an interesting research direction.

Approach	Prompt	Dataset	Accuracy	+Recall	-Recall	Precision	F1	AUC
Quatrain [46]	_	test	0.775	0.786	0.773	0.371	0.504	0.858
gpt-3.5	0-shot	probe-test	0.617	0.577	0.625	0.246	0.345	0.601
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gpt-4	code-only	test	0.819	0.868	0.811	0.439	0.583	0.840

Approach	n Prompt	Dataset	ACC	Р	R	F1	AUC
PatchNet	[25] -	test	0.862	0.839	0.907	0.871	0.860
gpt-3.5	0-shot	probe-test	0.566	0.564	0.995	0.720	0.508
gpt-3.5	1-shot	probe-test	0.555	0.558	0.986	0.713	0.496
gpt-3.5	few-shot	probe-test	0.557	0.561	0.964	0.709	0.501
gpt-3.5	general-info	probe-test	0.568	0.565	0.996	0.721	0.510
gpt-3.5	expertise	probe-test	0.762	0.761	0.837	0.798	0.752
gpt-3.5	self-heuristic	probe-test	0.646	0.631	0.884	0.737	0.614
gpt-4	expertise	probe-test	0.736	0.694	0.945	0.800	0.708
gpt-4	expertise	test	0.733	0.679	0.950	0.792	0.716

The evaluation result on stable patch classification. ACC = Accuracy. P = Precision. R = Recall. ChatGPT performs slightly worse than the SOTA.

Approac	h Prompt	Dataset	ACC	Р	R	F1	AUC
PatchNet	[25] -	test	0.862	0.839	0.907	0.871	0.860
gpt-3.5	0-shot	probe-test	0.566	0.564	0.995	0.720	0.508
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The evaluation result on stable patch classification. ACC = Accuracy. P = Precision. R = Recall.

- ChatGPT performs slightly worse than the SOTA.
- > In this task, gpt-4 and gpt-3.5 each have their advantages.

Approach	n Prompt	Dataset	ACC	Р	R	F1	AUC
PatchNet [25] -		test	0.862	0.839	0.907	0.871	0.860
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gpt-4	expertise	test	0.733	0.679	0.950	0.792	0.716

The evaluation result on stable patch classification. ACC = Accuracy. P = Precision. R = Recall.

- ChatGPT performs slightly worse than the SOTA.
- In this task, gpt-4 and gpt-3.5 each have their advantages.
- When using the 0-shot and 1-shot prompts, ChatGPT tends to report all patches as stable ones.

ChatGPT does not understand what a stable patch is. It tends to report all patches as stable ones. Thus, the precision scores are close to 0.5 while recall scores are close to 1.

Approacl	h Prompt	Dataset	ACC	Р	R	F1	AUC
PatchNet [25] -		test	0.862	0.839	0.907	0.871	0.860
gpt-3.5	0-shot	probe-test	0.566	0.564	0.995	0.720	0.508
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gpt-4	expertise	test	0.733	0.679	0.950	0.792	0.716

The evaluation result on stable patch classification. ACC = Accuracy. P = Precision. R = Recall.

- ChatGPT performs slightly worse than the SOTA.
- ➤ In this task, gpt-4 and gpt-3.5 each have their advantages.
- When using the 0-shot and 1-shot prompts, ChatGPT tends to report all patches as stable ones.
- Providing the definition of stable patch significantly improves ChatGPT's performance.

"fixing a problem that causes a build error, an oops, a hang, data corruption, a real security issue, or some 'oh, that's not good' issue"

Summary

- We conduct the first large-scale evaluation to explore the capabilities of ChatGPT on vulnerability management.
- We compare ChatGPT with 11 SOTA approaches on 6 vulnerability management tasks by using a large-scale dataset containing 19,355,711 tokens.
- Our findings demonstrate that ChatGPT has excellent capabilities when processing several vulnerability management tasks.
- We also reveal the difficulties ChatGPT encountered and shed light on future research to explore better ways to leverage ChatGPT in vulnerability management tasks.

Thanks!

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